

Form PTO 1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET NO. 3805-023-27 CONT			SERIAL NO. New Application		
LIST OF REFERENCES CITED BY APPLICANT (Use Several Sheets if Necessary)		APPLICANT Mark Edward KANE, et al.					
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
<i>FR</i>	A	5,129,605	07/14/92	Burns, et al.			
	B	6,459,965	10/01/02	Polivka, et al.			
	C	6,081,769	06/27/00	Curtis			
	D	6,218,961	04/17/01	Gross, et al.			
	E	5,751,569	05/12/98	Metel, et al.			
	F	6,135,396	10/24/00	Whitfield, et al.			
	G	6,397,147	05/28/02	Whithead			
	H	6,374,184	04/16/02	Zahm, et al.			
	I	6,421,587	07/16/02	Diana, et al.			
	J	6,135,396	10/24/00	Whitfield, et al.			
	K	6,459,964	10/01/02	Vu, et al.			
	L	6,377,877	04/23/02	Doner			
	M	6,322,025	11/27/01	Colbert, et al.			
	N	6,311,109	10/30/01	Hawthorne, et al.			
	O	5,828,979	10/27/98	Polivka, et al.			
	P	4,711,418	12/08/87	Aver, Jr., et al.			
	Q	5,867,122	02/02/99	Zahm, et al.			
	R	4,459,668	07/10/84	Inoue, et al.			
	S	6,102,340	08/15/00	Peck, et al.			
	T	5,533,695	07/09/96	Heggestad, et al.			
U	5,452,870	09/26/95	Heggestad				
V	5,340,062	08/23/94	Heggestad				
W	5,950,966	09/14/99	Hungate, et al.				
X	5,398,894	03/21/95	Pascoe				
EXAMINER <i>Franky Jules</i>				DATE CONSIDERED <i>8/11/04</i>			
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		6,049,745	04/11/00	Douglas et al.			
		4,181,943	01/01/80	Mercer, Sr. et al.			
		4,561,057	12/24/85	Haley, Jr. et al.			
		5,072,900	12/17/91	Malon			
		5,177,685	01/05/93	Davis et al.			
		5,332,180	07/26/94	Peterson et al.			
		5,364,047	11/15/94	Petit et al.			
		5,394,333	02/28/95	Kao			
		5,620,155	04/15/97	Michalek			
		5,699,986	12/23/97	Welk			
		5,740,547	04/14/98	Kull et al.			
		5,978,718	11/02/99	Kull			
		5,995,881	11/30/99	Kull			
		5,803,411	09/08/98	Ackerman et al.			
		5,944,768	08/31/99	Ito et al.			
		6,179,252 B1	01/30/01	Roop et al.			
		6,345,233 B1	02/05/02	Erick			
		6,371,416 B1	04/16/02	Hawthorne			
		6,373,403 B1	04/16/02	Korver et al.			
	6,456,937 B1	09/24/02	Doner et al.				
	6,487,478 B1	11/26/02	Azzaro et al.				
	6,494,408	12/17/02	Katzer				
	6,112,142	8/29/00	Shockley, et al.				
	5,541,981	7/30/96	Lynn				
V		6,519,512	2/11/03	Haas, et al.			
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<i>135</i>	AX	"Testimony of Jolene M. Molitoris, Federal Railroad Administrator, U.S. Department of Transportation before the House Committee on Transportation and Infrastructure Subcommittee on Railroads", Federal Railroad Administration, United States Department of Transportation, April 1, 1998.					
	AY	"System Architecture, ATCS Specification 100", May 1995.					
	BA	"A New World for Communications & Signaling", Progressive Railroading, May 1986.					
	BB	"Advanced Train Control Gain Momentum", Progressive Railroading, March 1986.					
	BC	"Railroads Take High Tech in Stride", Progressive Railroading, May 1985.					
	BD	Lyle, Denise, "Positive Train Control on CSXT", Railway Fuel and Operating Officers Association, Annual Proceedings, 2000.					
	BE	Lindsey, Ron A., "C B T M, Communications Based Train Management", Railway Fuel and Operating Officers Association, Annual Proceedings, 1999.					
	BF	Moody, Howard G, "Advanced Train Control Systems A System to Manage Railroad Operations", Railway Fuel and Operating Officers Association, Annual Proceedings, 1993.					
	BG	Ruegg, G.A., "Advanced Train Control Systems ATCS", Railway Fuel and Operating Officers Association, Annual Proceedings, 1986.					
	BH	Malone, Frank, "The Gaps Start to Close" Progressive Railroading, May 1987.					
	BI	"On the Threshold of ATCS", Progressive Railroading, December 1987.					
	BJ	"CP Advances in Train Control", Progressive Railroading, September 1987.					
	BK	"Communications/Signaling: Vital for dramatic railroad advances", Progressive Railroading, May 1988.					
	BL	"ATCS's System Engineer", Progressive Railroading, July 1988.					
	BN	"The Electronic Railroad Emerges", Progressive Railroading, May 1989.					
	BM	"C ³ Comes to the Railroads", Progressive Railroading, September 1989.					
	BO	"ATCS on Verge of Implementation", Progressive Railroading, December 1989.					
	BP	"ATCS Evolving on Railroads", Progressive Railroading, December 1992.					
	BQ	"High Tech Advances Keep Railroads Rolling", Progressive Railroading, May 1994.					
	BR	"FRA Promotes Technology to Avoid Train-To-Train Collisions", Progressive Railroading, August 1994.					
	BS	"ATCS Moving slowly but Steadily from Lab for Field", Progressive Railroading, December 1994.					
	BT	Judge, T., "Electronic Advances Keeping Railroads Rolling", Progressive Railroading, June 1995.					
<i>✓</i>	BU	"Electronic Advances Improve How Railroads Manage", Progressive Railroading, December 1995.					
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<i>FPJ</i>	BV	Judge, T., "BNSF/UP PTS Pilot Advances in Northwest", Progressive Railroading, May 1996.	
	BW	Foran, P., "Train Control Quandary, Is CBTC viable? Railroads, Suppliers Hope Pilot Projects Provide Clues", Progressive Railroading, June 1997.	
	BX	"PTS Would've Prevented Silver Spring Crash: NTSB", Progressive Railroading, July 1997.	
	BY	Foran, P., "A 'Positive' Answer to the Interoperability Call", Progressive Railroading, September 1997.	
	BZ	Foran, P., "How Safe is Safe Enough?", Progressive Railroading, October 1997.	
	CA	Foran, P., "A Controlling Interest In Interoperability", Progressive Railroading, April 1998.	
	CB	Derocher, Robert J., "Transit Projects Setting Pace for Train Control", Progressive Railroading, June 1998.	
	CC	Kube, K., "Variations on a Theme", Progressive Railroading, December 2001.	
	CD	Kube, K., "Innovation in Inches", Progressive Railroading, February 2002.	
	CE	Vantuono, W., "New York Leads a Revolution", Railway Age, September 1996.	
	CF	Vantuono, W., "Do you know where your train is?", Railway Age, February 1996.	
	CG	Gallamore, R., "The Curtain Rises on the Next Generation", Railway Age, July 1998.	
	CH	Burke, J., "How R&D is Shaping the 21st Century Railroad", Railway Age, August 1998.	
	CI	Vantuono, W., "CBTC: A Maturing Technology", Third International Conference On Communications Based Train Control, Railway Age, June 1999.	
	CJ	Sullivan, T., "PTC - Is FRA Pushing Too Hard?", Railway Age, August 1999.	
	CK	Sullivan, T., "PTC: A Maturing Technology", Railway Age, April 2000.	
	CL	Moore, W., "How CBTC Can Increase Capacity", Railway Age, April, 2001.	
	CM	Vantuono, W., "CBTC: The Jury is Still Out", Railway Age, June 2001.	
	CN	Vantuono, W., "New-tech Train Control Takes Off", Railway Age, May 2002.	
	CO	Union Switch & Signal Intermittent Cab Signal, Bulletin 53, 1998.	
	CP	GE Harris Product Sheet: "Advanced Systems for Optimizing Rail Performance" and "Advanced Products for Optimizing train Performance", undated.	
	CQ	GE Harris Product Sheet: "Advanced, Satellite-Based Warning System Enhances Operating Safety", undated	
	CR	Furman, E., et al., "Keeping Track of RF", GPS World, February 2001.	
	CS	Walker, Publication No. US 2001/0056544 A1, December 27, 2001.	
	CT	Gazit et al., Publication No. US 2002/0070879 A1, June 13, 2002.	
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<i>PST</i>	CU	Department of Transportation Federal Railroad Administration, Federal Register, Vol. 66, No. 155, pp. 42352-42396, August 10, 2001.					
	CV						
	CW						
	CX						
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